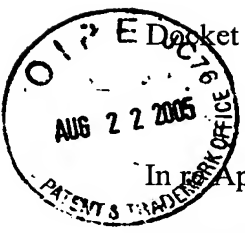


#4

JCOB Rec'd PGT/PTO 22 AUG 2005 PATENT



Docket No.: 4752-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kimio ISHIMARU

U.S. Patent Application No. 10/521,095

Filed: January 13, 2005

Confirmation No. 4555

For: RESEARCH-AND-DEVELOPMENT INVESTMENT SECURITIES SELLING METHOD, SYSTEM, PROGRAM, AND RECORDING MEDIUM

RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is in response to the Notice of Missing Parts of Application dated August 2, 2005, the period for response to which is set to expire on October 2, 2005. Submitted herewith are the following:

- Executed Declaration and Power of Attorney;
- Late Declaration Surcharge: \$ 130.00; and
- Copy of Notice to File Missing Parts
- Assignment (\$40.00)

Attached hereto is a credit card payment form in the amount of \$170.00, which covers the fees for the above listed items. Please charge any deficiencies in the enclosed fees to Deposit Account 07-1337 and direct any inquiries in connection with this application directly to the undersigned.

08/25/2005 LLANDGRA 00000023 10521095

01 FC:1617

130.00 OP

Respectfully submitted,

LOWE HAUPTMAN & BERNER, LLP

Kenneth M. Berner

Kenneth M. Berner
Registration No. 37,093

1700 Diagonal Road, Suite 310
Alexandria, Virginia 22314
(703) 684-1111 KMB/iyr
Facsimile: (703) 518-5499
August 22, 2005

#4



UNITED STATES PATENT AND TRADEMARK OFFICE



Rec'd PCT

22 AUG 2005

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/521,095	Kimio Ishimaru	4752-004

INTERNATIONAL APPLICATION NO.	
PCT/JP03/08115	
I.A. FILING DATE	PRIORITY DATE
06/26/2003	07/19/2002

22429
 LOWE HAUPTMAN GILMAN AND BERNER
 1700 DIAGONAL ROAD
 SUITE 300 /310
 ALEXANDRIA, VA 22314

AUG 09 2005

Lowe, Hauptman, & Berner

CONFIRMATION NO. 4555

371 FORMALITIES LETTER



OC000000016665149

Date Mailed: 08/02/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/13/2005
- English Translation of the IA filed on 01/13/2005
- Copy of the International Search Report filed on 01/13/2005
- Preliminary Amendments filed on 01/13/2005
- Information Disclosure Statements filed on 01/13/2005
- U.S. Basic National Fees filed on 01/13/2005
- Priority Documents filed on 01/13/2005

DOCKETED BY: [Signature]
 DUE DATE: 10-2-05
MISSING Pmts

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- **\$130** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/521,095	PCT/JP03/08115	4752-004

FORM PCT/DO/EO/905 (371 Formalities Notice)